A32367-PCT-USA 066290.0105 # 9 PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant

Volloch et al.

Serial No.

09/936,879

Examiner

: TBA

Filed

September 18, 2001

Group Art Unit:

1643

For

SCREENING METHODS FOR COMPOUNDS USEFUL IN THE REGULATION OF CELL PROLIFERATION

RENEWED PETITION UNDER 37 CFR 1.137 (b)

I hereby certify that this paper is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop PCT Commissioner for Patents, Office of PCT Legal Administration, P.O. Box 1450, Alexandria, Virginia 22313-1450

August 12, 2003

Date of Deposit

Carmella L. Stephens

Attorney Name

41,328

PTO Registration No

Munst 12, 20

Assistant Commissioner for Patents

Washington, D.C. 20231

SIR:

In response to the Decision on Petition Under 37 C.F.R. 1.137(b) received in connection with the above identified patent application, Applicants request reconsideration of the Petition For Revival of an Application for Patent Abandoned Unintentionally Under 37 C.F.R. 1.137(b) filed on January 3, 2003. A copy of the Petition is included herewith.

NY02:453572.1 -1-

A32367-PCT-USA 066290.0105 PATENT

Applicants submit herewith an executed Declaration and Power of Attorney of Michael Sherman. Applicants have not yet received back the executed Declaration and Power of Attorney of Vladimir Volloch. The Declaration was sent out to Dr. Volloch via Federal Express on July 30, 2003 (a copy of the cover letter and Declaration is included herewith). In addition, multiple attempts were made to phone Dr. Volloch to request timely return of the signed Declaration and Power of Attorney. Multiple messages were left on Dr. Volloch's answering machine requesting return of the signed document prior to the August 12, 2003 deadline. An unsuccessful attempt was also made to reach a secretary in Dr. Volloch's Department of Chemical & Biological Engineering to ascertain whether Dr. Volloch was away from the office. On August 12, 2003, I again phoned Dr. Volloch's office and was informed that he had been away but was expected back that day. As soon as the executed Declaration and Power of Attorney are returned to me I will forward them to your attention immediately.

In the event that a fee is required, the Commissioner is hereby authorized to charge any fee to Deposit Account No. 02-4377. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

Lisa B. Kole

PTO Registration No. 35,225

Carmella L. Stephens PTO Registration No. 41,328

Attorneys for Applicant

BAKER BOTTS, L.L.P. 30 Rockefeller Plaza New York, NY 10112 (212) 408-2539

Encl.

-2-

COMBINED DECLARATION AND POWER OF ATTORNEY

(Original, Design, National Stage of PCT, Divisional, Continuation or C-I-P Application)

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name; I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

SCREENING METHODS FOR COMPOUNDS USEFUL IN THE REGULATION OF CELL PROLIFERATION

IN THE REGULATION OF CELL PRODUCERATION
This declaration is of the following type:
 [] original [] design [X] national stage of PCT. [] divisional [] continuation of prior Application No. [] continuation-in-part (C-I-P)
the specification of which: (complete (a), (b), or (c))
 (a) [] is attached hereto. (b) [X] was filed on September 18, 2001 as Application Serial No. 09/936,879. (c) [X] was described and claimed in PCT International Application No. PCT/US00/07350 filed on March 17, 2000.
Acknowledgement of Review of Papers and Duty of Candor I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose information which is material to the patentability of the subject matter claimed in this application in accordance with Title 37, Code of Federal Regulations § 1.56.
[] In compliance with this duty there is attached an information disclosure statement. 37 CFR 1.98.
Priority Claim I hereby claim foreign priority benefits under Title 35, United States Code, § 119(a)-(d) of any foreign application(s) for patent or inventor's certificate or of any PCT International Application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application(s) for patent or inventor's certificate or any PCT International Application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application on which priority is claimed
(complete (d) or (e))
(d) [] no such applications have been filed.(e) [] such applications have been filed as follows:

COUNTRY	APPLICATION NO.	DATE OF FILING (day, month, year)	DATE OF ISSUE (day, month, year)	PRIORITY CLAIMED UNDER 35 USC 119
				[x] YES NO []
				[] YES NO []
·. ·				[] YES NO []
LL FOREIGN AP	PLICATION[S], IF ANY, FILED MORE THAN	12 MONTHS (6 MONTHS FOR DESIGN) PRIOR	R TO SAID APPLICATION	
				[] YES NO []
				[] YES NO []
				[] YES NO []

Claim for Benefit of Prior U.S. Provisional Application(s)

I hereby claim the benefit under Title 35, United States Code, § 119(e) of any United States provisional application(s) listed below:

	Filing Date	
60/125,046	March 18, 1999	
N		

Claim for Benefit of Earlier U.S./PCT Application(s) under 35 U.S.C. 120

(complete this part only if this is a divisional, continuation or C-I-P application)

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) or PCT international application(s) designating the United States of America that is/are listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior application(s) in the manner provided by the first paragraph of Title 35, United States Code § 112, I acknowledge the duty to disclose information as defined in Title 37, Code of Federal Regulations, § 1.56 which occurred between the filing date of the prior application(s) and the national or PCT international filing date of this application:

(Application Serial No.) PCT/US00/07350

(Filing Date) March 17, 2000

(Status) Published (patented, pending, ab

(Application Serial No.)

(Filing Date)

(Status)

(patented, pending, abandoned)

Power of Attorney

As a named inventor, I hereby appoint Robert Neuner; Reg. No. 24,316; Richard G. Berkley, Reg. No. 25,465; Bradley B. Geist, Reg. No. 27,551; James J. Maune, Reg. No. 26,946; John D. Murnane, Reg. No. 29,836; Henry Tang, Reg. No. 29,705; Robert C. Scheinfeld, Reg. No. 31,300; John A. Fogarty, Jr., Reg. No. 22,348; Louis S. Sorell, Reg. No. 32,439; Rochelle K. Seide Reg. No. 32,300; Gary M. Butter, Reg. No. 33,841; Lisa B. Kole, Reg. No. 35,225; Carmella Stephens, Reg. No. 41,328; and Anthony Giaccio, Reg. No. 39,684 of the firm of BAKER & BOTTS, L.L.P., with offices at 30 Rockefeller Plaza, New York, New York 10112, as attorneys to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith

SEND CORRESPONDENCE TO:

BAKER & BOTTS, L.L.P.

30 ROCKEFELLER PLAZA, NEW YORK, N.Y. 10112

CUSTOMER NUMBER: 21003

DIRECT TELEPHONE CALLS TO: BAKER & BOTTS, L.L.P.

(212) 705-5000

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon. NY02:365103.1

FULL NAME OF SOLE	LAST NAME	FIRST NAME	MIDDLE NAME	
OR FIRST INVENTOR	Volloch	Vladimir	Z.	
RESIDENCE & CITIZENSHIP	CITY	STATE or FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP	
	Brookline	Massachusetts	United States	
POST OFFICE	POST OFFICE ADDRESS	CITY	STATE or COUNTRY	ZIP CODE
ADDRESS	358 Tappen Street	Brookline	Massachusetts	02445
DATE	SIGNATURE OF INVENTOR	Diodaine	<u> </u>	<u> </u>
TULL NAME OF SECOND	LAST NAME	FIRST NAME	MIDDLE NAME	
OINT INVENTOR, IF ANY	Sherman	Michael		
ESIDENCE & CITIZENSHIP	CITY	STATE or FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP	
•	Newton	Massachusetts	United States	
OST OFFICE	POST OFFICE ADDRESS	CITY	STATE or COUNTRY	ZIP CODE
ADDRESS	581 Şaw Mill Brook Parkway	Newton	Massachusetts	02459
OF: 171.07	SIGNATURE OF INVENTOR			<u> </u>
FULL NAME OF THIRD JOINT NVENTOR, IF ANY	LAST NAME	FIRST NAME	MIDDLE NAME	
RESIDENCE & CITIZENSHIP	CITY	STATE or FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP	
POST OFFICE ADDRESS	POST OFFICE ADDRESS	CITY	STATE or COUNTRY	ZIP CODE
DATE	SIGNATURE OF INVENTOR			
FULL NAME OF FOURTH JOINT INVENTOR, IF ANY	LAST NAME	FIRST NAME	MIDDLE NAME	
RESIDENCE & CITIZENSHIP	CITY	STATE or FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP	
POST OFFICE ADDRESS	POST OFFICE ADDRESS	CITY	STATE or COUNTRY	ZIP CODE
DATE	SIGNATURE OF INVENTOR			
FULL NAME OF FIFTH JOINT	LAST NAME	FIRST NAME	MIDDLE NAME	
RESIDENCE & CITIZENSHIP	СІТУ	STATE or FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP	
POST OFFICE ADDRESS	POST OFFICE ADDRESS	СІТУ	STATE or COUNTRY	ZIP CODE
DATE	SIGNATURE OF INVENTOR		_ L L	
FULL NAME OF SIXTH JOINT INVENTOR, IF ANY	LAST NAME	FIRST NAME	MIDDLE NAME	
RESIDENCE & CITIZENSHIP	CITY	STATE or FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP	
POST OFFICE	POST OFFICE ADDRESS	CITY	STATE or COUNTRY	ZIP CODE
ADDRESS				

From: Carmella L. Stephens (212)408-2500

Baker Botts 30 Rockefeller Plaza 43rd Floor New York, NY, 10112



To: Vladimir Volloch (617)627-3186 Tufts Univ. School of Engineering Dept. of Chemical & Bio Engineering 4 Colby Street Medford, MA, 02155

02155-MA-US

SHIP DATE: 30JUL03 WEIGHT: 1 LBS

Ref: 066290.0106 03627 Stephe



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30 ROCKEFELLER PLAZA NEW YORK, NEW YORK 10112-4498 212.408.2500 FAX 212.408.2501

CARMELLA L. STEPHENS, PH.D., J.D. 212.408.2539 E-MAIL ADDRESS: CARMELLA. STEPHENS@BAKERBOTTS. COM AUSTIN BAKU DALLAS HOUSTON LONDON NEW YORK RYADH WASHINGTON

July 30, 2003

Via Federal Express

Dr. Vladimir Volloch Tufts University School of Engineering Department of Chemical & Biological Engineering 4 Colby Street, Medford, MA 02155

Re: U.S. National Phase Application S/N 09/936,879 filed 09/18/2001 which corresponds to Int'l Appln. No. PCT/US00/07350 filed March 17, 2000, based on U.S. Provisional Appln. No. 60/125,046 filed March 18, 1999 for "SCREENING METHODS FOR COMPOUNDS USEFUL IN THE REGULATION OF CELL PROLIFERATION" by Volloch *et al.* (Our File No: 32367-PCT-USA 066290.0105)

Dear Dr. Volloch:

As per my phone message, enclosed is a Combined Declaration and Power of Attorney prepared in connection with the above-identified patent application. Please <u>sign</u> and <u>date</u> the document and return it to me as soon as possible. The due date for submitting the Combined Declaration and Powers of Attorney to the United States Patent and Trademark Office is <u>August 12, 2003</u>. For your convenience, I have included a Federal Express envelop for returning the Declaration to me.

Should you have any questions regarding this matter, please do not hesitate to contact me.

Sincerely,

Carmella L. Stephens

Curmella L. Stephens

c: Adel Mikhail, Ph.D.

FILE NO.: A32367-PC

COMBINED DECLARATION AND POWER OF ATTORNEY

(Original, Design, National Stage of PCT, Divisional, Continuation or C-I-P Application)

As a below named inventor, I hereby declare that:

before that of the application on which priority is claimed

(d) [] no such applications have been filed.

(e) [] such applications have been filed as follows:

My residence, post office address and citizenship are as stated below next to my name; I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

SCREENING METHODS FOR COMPOUNDS USEFUL IN THE REGULATION OF CELL PROLIFERATION

IN THE REGULATION OF CELL PROLIFERATION
This declaration is of the following type:
 [] original [] design [X] national stage of PCT. [] divisional [] continuation of prior Application No. [] continuation-in-part (C-I-P)
the specification of which: (complete (a), (b), or (c))
 (a) [] is attached hereto. (b) [X] was filed on September 18, 2001 as Application Serial No. 09/936,879. (c) [X] was described and claimed in PCT International Application No. PCT/US00/07350 filed on March 17 2000.
Acknowledgement of Review of Papers and Duty of Candor I hereby state that I have reviewed and understand the contents of the above identified specification including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose information which is material to the patentability of the subject matter claimed in this application in accordance with Title 37, Code of Federal Regulations § 1.56.
[] In compliance with this duty there is attached an information disclosure statement. 37 CFR 1.98.
Priority Claim
I hereby claim foreign priority benefits under Title 35, United States Code, § 119(a)-(d) of any foreign application(s) for patent or inventor's certificate or of any PCT International Application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application(s) for patent or inventor's certificate or any PCT International Application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date

(complete (d) or (e))

COUNTRY	APPLICATION NO.	DATE OF FILING (day, month, year)	DATE OF ISSUE (day, month, year)	PRIORITY CLAIMED UNDER 35 USC 119
				[x] YES NO []
				[] YES NO []
				[] YES NO []
L FOREIGN AP	PLICATION[S], IF ANY, FILED MORE THAN I	2 MONTHS (6 MONTHS FOR DESIGN) PRIOR	R TO SAID APPLICATION	
				[] YES NO []
				[] YES NO []
				[] YES NO []

Claim for Benefit of Prior U.S. Provisional Application(s)

I hereby claim the benefit under Title 35, United States Code, § 119(e) of any United States provisional application(s) listed below:

Provisional Application Number	Filing Date	
60/125,046	March 18, 1999	

Claim for Benefit of Earlier U.S./PCT Application(s) under 35 U.S.C. 120

(complete this part only if this is a divisional, continuation or C-I-P application)

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) or PCT international application(s) designating the United States of America that is/are listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior application(s) in the manner provided by the first paragraph of Title 35, United States Code § 112, I acknowledge the duty to disclose information as defined in Title 37, Code of Federal Regulations, § 1.56 which occurred between the filing date of the prior application(s) and the national or PCT international filing date of this application:

(Application Serial No.) PCT/US00/07350

(Filing Date) March 17, 2000

(Status) Published (patented, pending, ab

(Application Serial No.)

(Filing Date)

(Status)

(patented, pending, abandoned)

Power of Attorney

As a named inventor, I hereby appoint Robert Neuner; Reg. No. 24,316; Richard G. Berkley, Reg. No. 25,465; Bradley B. Geist, Reg. No. 27,551; James J. Maune, Reg. No. 26,946; John D. Murnane, Reg. No. 29,836; Henry Tang, Reg. No. 29,705; Robert C. Scheinfeld, Reg. No. 31,300; John A. Fogarty, Jr., Reg. No. 22,348; Louis S. Sorell, Reg. No. 32,439; Rochelle K. Seide Reg. No. 32,300; Gary M. Butter, Reg. No. 33,841; Lisa B. Kole, Reg. No. 35,225; Carmella Stephens, Reg. No. 41,328; and Anthony Giaccio, Reg. No. 39,684 of the firm of BAKER & BOTTS, L.L.P., with offices at 30 Rockefeller Plaza, New York, New York 10112, as attorneys to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith

SEND CORRESPONDENCE TO:

BAKER & BOTTS, L.L.P.

30 ROCKEFELLER PLAZA, NEW YORK, N.Y. 10112

CUSTOMER NUMBER: 21003

DIRECT TELEPHONE CALLS TO: BAKER & BOTTS, L.L.P.

(212) 705-5000

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon. NY02:365103.1

FULL NAME OF SOLE	LAST NAME	FIRST NAME	MIDDLE NAME	
OR FIRST INVENTOR	Volloch	Vladimir	Z.	
RESIDENCE & CITIZENSHIP	CITY	STATE or FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP	
	Brookline	Massachusetts	United States	
POST OFFICE	POST OFFICE ADDRESS	CITY	STATE or COUNTRY	ZIP CODE
ADDRESS	358 Tappen Street	Brookline	Massachusetts	02445
DATE	SIGNATURE OF INVENTOR	Diconinio		1
TULL NAME OF SECOND	LAST NAME	FIRST NAME	MIDDLE NAME	
OINT INVENTOR, IF ANY	Sherman	Michael		
RESIDENCE & CITIZENSHIP	CITY	STATE or FOREIGN COUNTRY	COUNTRY OF CITIZEN	SHIP
	Newton	Massachusetts	United States	
POST OFFICE	POST OFFICE ADDRESS	CITY	STATE or COUNTRY	ZIP CODE
ADDRESS	581 Saw Mill Brook Parkway	Newton	Massachusetts	02459
DATE	SIGNATURE OF INVENTOR		1114554011450115 02433	
		- -		····
FULL NAME OF THIRD JOINT INVENTOR, IF ANY	LAST NAME	FIRST NAME	MIDDLE NÀME	
RESIDENCE & CITIZENSHIP	СІТҮ	STATE or FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP	
POST OFFICE ADDRESS	POST OFFICE ADDRESS	СІТҮ	STATE or COUNTRY	ZIP CODE
DATE	SIGNATURE OF INVENTOR			<u> I</u>
FULL NAME OF FOURTH	LAST NAME	FIRST NAME	MIDDLE NAME	
OINT INVENTOR, IF ANY				
RESIDENCE & CITIZENSHIP	CITY	STATE or FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP	
POST OFFICE ADDRESS	POST OFFICE ADDRESS	СІТУ	STATE or COUNTRY	ZIP CODE
DATE	SIGNATURE OF INVENTOR			
FULL NAME OF FIFTH JOINT NVENTOR, IF ANY	LAST NAME	FIRST NAME	MIDDLE NAME	
RESIDENCE & CITIZENSHIP	СІТУ	STATE or FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP	
POST OFFICE ADDRESS	POST OFFICE ADDRESS	CITY	STATE or COUNTRY	ZIP CODE
DATE	SIGNATURE OF INVENTOR			
ULL NAME OF SIXTH JOINT NVENTOR, IF ANY	LAST NAME	FIRST NAME	MIDDLE NAME	
ESIDENCE & CITIZENSHIP	CITY	STATE or FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP	
				Tan cons
OST OFFICE LDDRESS	POST OFFICE ADDRESS	CITY	STATE or COUNTRY	ZIP CODE

PETITION FOR REVIVAL OF AN APPLICATION FOR PATENT UNINTENTIONALLY UNDER 37 CFR 1.137(b)	T ABANDONED	Docket Number (Optional) A32367
First named inventor: Vollach et al.		
Application No.: 09/936,879	Art Unit: TBA	
Filed: September 18, 2001	Examiner: TBA	i
Title: Screening Methods For Compounds Useful in the Regula	tion of Cell Prolife	ration
Attention: Office of Petitions Assistant Commissioner for Patents Box DAC Washington, D.C. 20231		
NOTE: If information or assistance is needed in completing the Information at (703) 305-9282.	nis form, please con	tact Petitions
The above-identified application became abandoned for failure to file a notice or action by the United States Patent and Trademark Office. The expiration date of the period set for reply in the Office notice or action pactually obtained.	e date of abandonme	ent is the day after the
APPLICANT HEREBY PETITIONS FOR REVIVAL C	OF THIS APPLICAT	ION
NOTE: A grantable petition requires the following items: (1) Petition fee; (2) Reply and/or issue fee; (3) Terminal disclaimer with disclaimer feerequire filed before June 8, 1995; and for all design app (4) Statement that the entire delay was unintentional	lications; and	ant applications
1. Petition fee Samuel entity-fee Samuel (37 CFR 1.17(m)). Applicant claims	s small entity status.	See 37 CFR 1.27.
Other than small entity - fee \$(37 CFR 1.17(m))		
2. Reply and/or fee		
A. The reply and/or fee to the above-noted Office action in the form of response to Notification of Missing Requipment has been filed previously on is enclosed herewith. B. The issue fee of \$ has been paid previously on is enclosed herewith.	 •	ntify type of reply):
[Page 1 of 2]	· i;	15 c supe

SAKER BOTTS LLE

3. Terminal disclaimer with disclaimer fe				
☐ Since this utility/plant application was filed on or after June 8, 1995, no terminal disclaimer is required.				
☐ A terminal disclaimer (and disclaimer fee (37 CFR 1.20(d)) of \$ for a small entity or \$ for other than a small entity) disclaiming the required period of time is enclosed herewith (see PTO/SB/63)				
filing of a grantable petition under 37 (Trademark Office may require addit	ng the required reply from the due date for the required reply until the CFR 1.137(b) was unintentional. [NOTE. The United States Patent and tional information if there is a question as to whether either the a petition under 37 CFR 1.137(b) was unintentional (MPEP)].			
	orm may become public. Credit card information should not e credit card information and authorization on PTO-2038.			
Jan. 3,2003	furmelly a. Stephens			
Date	Signature *			
Telephone Number: 212 408-2539	Carmella L. Stephens			
Number	Typed or printed name			
	BakerBotts, LLP			
	Address			
Enclosures: Fee Payment	30 Rockefeller Plaza, N.Y., N.Y. 10112			
✓ Reply				
☐ Terminal Disclaimer Fo	rm			
Additional sheets conta	ining statements establishing unintentional delay			
Other:				
	OF MAILING OR TRANSMISSION [37 CFR 1.8(a)]			
	and the state of t			
I hereby certify that this correspondence	e is being:			
deposited with the United State first class mail in an envelope a D.C. 20231.	s Postal Service on the date shown below with sufficient postage as addressed to: Assistant Commissioner for Patents, Box DAC, Washington,			
transmitted by facsimile on the (703) 308-6916.	date shown below to the United States Patent and Trademark Office at			
Jan. 3, 2003 Date	. Signature			
	Carmella L. Stephens			
	Type or printed name of person signing certificate			

[Page 2 of 2]